# A Gapping analysis of Across-the-Board T<sup>0</sup>-to-C<sup>0</sup> movement in English

Jason Overfelt Oakland University

## 1 Introduction

#### 1.1 Background

- **T**<sup>0</sup>-**to-C**<sup>0</sup> **Movement.** The standard transformational analysis of subject-auxiliary inversion in matrix question formation involves T<sup>0</sup>-to-C<sup>0</sup> movement (Chomsky 1957, 1986, Williams 1974, et seq.).<sup>1</sup>
  - (1)  $\begin{bmatrix} \text{CP } \text{Is } [\text{TP } \text{Ian } t \text{ sleeping }] \end{bmatrix}$
- Across-the-Board Movement. The standard transformational analysis of Coordinate Structure Constraint exceptions involves Across-the-Board (ATB) extraction (Ross 1967, Williams 1978, et seq.).<sup>2</sup>
  - (2) I know  $[_{CP}$  **what**  $[_{\&P}$   $[_{TP}$  Gale ordered t ] and  $[_{TP}$  Ted ate t ]]
- Across-the-Board T<sup>0</sup>-to-C<sup>0</sup> Movement. The standard, and often implicit, transformational analysis for auxiliaries in conjoined interrogatives involves Across-the-Board T<sup>0</sup>-to-C<sup>0</sup> movement.
  - [3)  $\left[ \operatorname{CP} \operatorname{Is} \left[ \operatorname{kp} \left[ \operatorname{Tp} \operatorname{Ian} t \right] \right] \right]$  and  $\left[ \operatorname{Tp} \operatorname{Maxine} t \right]$

#### 1.2 A Preview

• A Ban on ATB T<sup>0</sup>-to-C<sup>0</sup>. Subject-auxiliary inversion is not the product of Across-the-Board T<sup>0</sup>-to-C<sup>0</sup> movement of the fronted auxiliary (see also An 2007, Salzmann 2012, Flor & Zompí 2021).<sup>3</sup>

No Across-the-Board  $T^0$ -to- $C^0$ 

T<sup>0</sup>-to-C<sup>0</sup> movement cannot apply Across-the-Board in English.

The string in question does not have the representation shown in (4).

(4) 
$${}^{\mathsf{x}}[_{\mathrm{CP}} \text{ Is } [_{\&\mathrm{P}}[_{\mathrm{TP}} \text{ Ian } t \text{ sleeping }] \text{ and } [_{\mathrm{TP}} \text{ Maxine } t \text{ reading }]]]$$

♦ https://joverfelt.net
1
✓ overfelt@oakland.edu

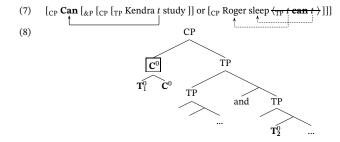
CGSW 36 – Chicago, IL October 15, 2022

• A Dual-Source Gapping Approach. Apparent ATB T<sup>0</sup>-to-C<sup>0</sup> constructions are the outputs of the same mechanisms responsible for Gapping (e.g., Lin 2002, Potter et al. 2017).

## Apparent ATB T<sup>0</sup>-to-C<sup>0</sup> is Gapping

Gapping derivations omit auxiliaries from non-initial conjuncts in interrogative coordinations.

- Small Conjunct Gapping (SCG): Auxiliaries "omitted" from non-initial conjuncts reflect shared auxiliary structure above coordinated v/VPs.
  - (5)  $[_{\text{CP}} \text{ Is } [_{\text{TP}} \text{ Kendra } t [_{\&P} [_{\text{VP}} t \text{ studying }] \text{ and } [_{\text{VP}} \text{ Roger sleeping }]]]]$
- Large Conjunct Gapping (LCG): Auxiliaries are omitted from non-initial conjuncts as part of an elided TP constituent under conjoined clauses.
- Extensions and Speculations. The remainder of the talk explores the diagnostic utility of apparent ATB head displacement and considers the implications for constituent questions.
- Asymmetric Phonological Head Displacement: Asymmetric extraction and obligatory reconstruction diagnose the post-syntactic displacement of heads.



- Gapping Feeds ATB *Wh*-Movement : Gapping configurations feed ATB syntactic movement of *wh*-elements.
  - (9)  $[_{\text{ForceP}}$  Which dish<sub>1</sub> can  $[_{\text{TP}}$  Sally<sub>1</sub> t  $[_{\text{\&P}}$   $[_{\text{VP}}$  t afford  $x_1$  ] and  $[_{\text{VP}}$  Tony eat  $x_1$  ]]]]]
  - (10)  $[_{\text{ForceP}} \text{ Which dish}_1 \text{ can } [_{\&P} [_{\text{CP}} \text{ [TP Sally}_1 t \text{ afford } x_1]] \text{ and } [_{\text{CP}} \text{ Tony } [\text{eat } x_1] \langle_{\text{TP}} t \text{ can } t \rangle]]]$

## 2 A Dual-Source Gapping Analysis

#### 2.1 Gapping

• Canonical Gapping. The verb (phrase) of a non-initial conjunct can be omitted under identity with material in a preceding conjunct (Ross 1970, Johnson 2019).

- (11) a. Some read a book to Melissa and others read a book to Paul.
  - b. Some read a book to Melissa and others  $\Delta$  to Paul.

(Johnson 2019:573, (40))

- Auxiliary Gapping. Other instances of Gapping involve omission of auxiliaries, possibly along with verb (phrase) (Siegel 1987).
- (12) a. Jill will referee the hockey game and Jori will time the luge race.
  - b. Jill will referee the hockey game and Jori  $\Delta$  time the luge race. (Lin 2002:10, (3b))
- A Dual-Source Gapping Approach. Apparent ATB T<sup>0</sup>-to-C<sup>0</sup> constructions are the outputs of the same mechanisms responsible for Gapping (e.g., Lin 2002, Potter et al. 2017).

Apparent ATB T0-to-C0 is Gapping

Gapping derivations omit auxiliaries from non-initial conjuncts in interrogative coordinations.

## 2.2 Small Conjunct Auxiliary Gapping

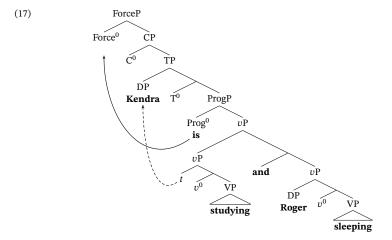
- Low Coordination. Gapping may involve the coordination of v/VPs (Siegel 1987, Johnson 1996/2004, Lin 2002, Potter et al. 2017).
- Variable Binding: A quantificational subject in the first conjunct can bind variables in the subject of the second conjunct (McCawley 1993, Johnson 1996/2004, Lin 2002).
  - (13) a. No student<sub>1</sub> bought a hat and her<sub>1</sub> brother a sweatshirt."There is no student x such that x bought a hat and x's brother bought a shirt."
    - b. **No student**<sub>1</sub> [ $_{\&P}$  [ $_{VP}$   $_{t}$  bought a hat ] and [ $_{VP}$  **her**<sub>1</sub> brother bought a shirt ]]
- Negative Polarity Items : Negation licenses NPIs in the second conjunct (Oehrle 1987).
  - (14) a. Jane won't live in Boston and Mark live anywhere else.
    - b. Jane won't [ $_{\&P}$  [ $_{VP}$   $_{t}$  live in Boston ] and [ $_{VP}$  Mark live **anywhere** else ]]

♦ https://joverfelt.net 3 ☑ overfelt@oakland.edu

CGSW 36 – Chicago, IL October 15, 2022

 Wide-Scope Operators: Modal auxiliaries in the first conjunct can be interpreted above the coordination (Oehrle 1987, Siegel 1987, Potter et al. 2017).

- (15) a. Ward **can't** eat caviar and Mary eat beans.  $\neg \Diamond (P \land Q)$ : "It's not possible that Ward eats caviar and Mary eats beans."
  - b. Ward **can't** [ $_{\&P}$  [ $_{VP}$  t eat caviar] and [ $_{VP}$  Mary eat beans]]
- Small Conjuncts Feed Aux-Inversion. Apparent ATB T<sup>0</sup>-to-C<sup>0</sup> constructions may have Small-Conjunct Gapping structures as their source.
  - (16)  $\left[ \text{ForceP is } \left[ \text{TP Kendra } t \left[ \text{\&P [VP } t \text{ studying ] and [VP Roger sleeping ]]]} \right] \right]$



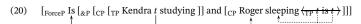
- <u>Low Coordination</u>: Coordination is at the level of the predicate, below any auxiliaries.
- Omitted Auxiliaries: Omission of an auxiliaries from a non-initial conjunct reflects shared structure above the coordination.
- <u>Coordinate Structure Constraint</u>: A-movement of the subject out of the first conjunct is permitted under if the CSC is a constraint on LF representations (Ruys 1992, Fox 2000, Lin 2002).

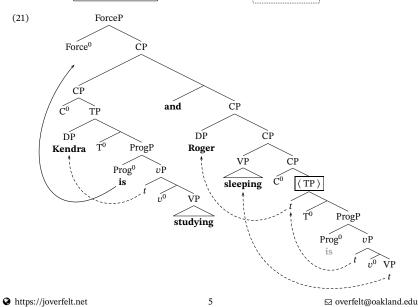
• Question Formation: The shared auxiliary is displaced to the left periphery.

https://joverfelt.net

## 2.3 Large Conjunct Auxiliary Gapping

- **High Coordination.** Gapping may involve the coordination of clause-level constituents (Ross 1970, Potter et al. 2017).
- Distributed-Scope Operators: Modal auxiliaries can be interpreted below the scope of coordination, in each conjunct (Siegel 1987, Potter et al. 2017)
  - (18) a. Ward **can't** eat caviar and Mary, beans.  $\neg \lozenge P \land \neg \lozenge Q$ : 'Ward can't eat caviar and Mary can't eat beans.'
    - b. [&P [CP Ward can't eat caviar] and [CP Mary beans # ean't eat #]
- Wh-Remnants: The remnants of gapping can be wh-elements, which presumably move to Spec, CP (Pesetsky 1982, Boone 2014; cf. López & Winkler 2003, Johnson 2019).
  - (19) a. Which boy will bring rice and which girl, beans?
    - b. [&P [CP] Which boy will bring rice ] and [CP] which girl beans # will bring # ]
- A Large Conjunct Gapping Source. Apparent ATB T<sup>0</sup>-to-C<sup>0</sup> constructions may be the output of the same mechanisms responsible for Large Conjunct Gapping.





CGSW 36 – Chicago, IL October 15, 2022

- High Coordination: Coordination is at the level of the clause, at the CP layer.
- Omitted Auxiliaries: Omission of auxiliaries from a non-initial conjunct reflect ellipsis of TP containing the missing auxiliary.
- · Remnants: Constituents displaced to the left periphery of the second conjunct become remnants.
- $\bullet \ \ Question \ Formation: The auxiliary in the first conjunct is displaced to the illocutionary force head.$
- Coordinate Structure Constraint: Extraction of an auxiliary from the first conjunct is permitted under if the CSC is a constraint on LF representations (Ruys 1992, Fox 2000, Lin 2002).

# 3 Challenges for Across-the-Board T<sup>0</sup>-to-C<sup>0</sup> Movement

• A Ban on ATB  $T^0$ -to- $C^0$ . Subject-auxiliary inversion is not the product of Across-the-Board  $T^0$ -to- $C^0$  movement of the fronted auxiliary.

No Across-the-Board T<sup>0</sup>-to-C<sup>0</sup>

T<sup>0</sup>-to-C<sup>0</sup> movement cannot apply Across-the-Board in English.

## 3.1 Asymmetric Agreement

- Asymmetric Agreement. An auxiliary fronted in a coordination structure may asymmetrically agree with the subject of the first conjunct, but not the second (An 2007, Salzmann 2012).
- (22) a. Who does he like and they hate?

b. \*Who do he like(s) and they hate?

(An 2007:8–9, (21)–(22))

(23) Was **hast** [ <u>du</u> gekauft ] und [ <u>Peter</u> verkauft ]? what have.2s you bought and <u>Peter</u> sold 'What did you buy and Peter sell?'

(Salzmann 2012:403, (9))

- (24) a. ?Is Kendra studying and the boys sleeping?
  - b. \*Are Kendra studying and the boys sleeping?
- No ATB Source. The contrast suggests that the fronted auxiliary does not have a source in both conjuncts, contra expectations if the auxiliary undergoes ATB T<sup>0</sup>-to-C<sup>0</sup> movement (e.g., Citko 2005).
- (25)  ${}^{\mathbf{x}}[_{\mathbb{C}P}$  [ $_{\mathbb{R}P}$  [ $_{\mathbb{R}P}$  Kendra **is** studying] and [ $_{\mathbb{T}P}$  the boys **is** sleeping]]]

• Agreement in Gapping. Gapping provides derivations in which the fronted auxiliary can be expected to agree with only the initial nominal constituent.

• Small Conjunct Gapping: In SCG the auxiliary probes and agrees with the highest/closest external argument of the first conjunct.

(26) 
$$[_{ForceP}$$
  $[_{TP}$  Kendra **is**  $[_{\&P}$   $[_{VP}$   $_{t}$  studying ] and  $[_{VP}$  the boys eating ]]]]

 Large Conjunct Gapping: In LCG the pronounced and fronted auxiliary necessarily agrees with the sole argument of its clause.<sup>4</sup>

[27) 
$$[_{ForceP}$$
  $[_{\&P}$   $[_{CP}$   $[_{TP}$  Kendra **is** studying ]] and  $[_{CP}$  the boys eating  $\langle _{TP}$  **! are !** $\rangle$ ]]]

#### 3.2 Anti-destressing

- Anti-Destressing. A second conjunct cannot contain a destressed pronominal subject that is coreferent with the subject of the first conjunct (Bjorkman 2014).
  - (28) a. \*Is **Kendra**<sub>1</sub> studying and **she**<sub>1</sub> eating?
    - b. ??Is Kendra<sub>1</sub> studying and SHE<sub>2</sub> eating?
    - c. Is Kendra studying and Roger eating?
- No ATB Source. Anti-destressing is not an expected property given the acceptability of destressed coreferent pronominal subjects in declarative counterparts.

(29) 
$$\mathbf{x}_{[CP} \leftarrow [x_P | x_{P} | x_{P} | x_{P} | x_{P} | x_{P} ]]$$

- (30) Kendra<sub>1</sub> is studying and she<sub>1</sub> is eating.
- **Prosodic Conditions on Gapping.** Gapping is well-know to have specific information-structural properties that are reflected in its prosody (e.g., Kuno 1976, Winkler 2005, Toosarvandani 2016).
- (31) Contrastive Focus Principle In gapping the deleted elements must be given. The remnants must occur in a contrastive relation to their correlates.
- Small Conjunct Gapping (SCG): Predicate-internal subjects positions appear to generally resist destressed coreferent nominals.

(32) \*[ForceP 
$$\left[ \text{TP } \mathbf{Kendra_1} \text{ is } \left[ \frac{1}{8^{\text{P}}} \left[ \text{VP } t \text{ studying } \right] \text{ and } \left[ \text{VP } \mathbf{she_1/her_1} \text{ eating } \right] \right] \right]$$

(33) I saw [&P [ Ramona<sub>1</sub> reading ] and [ \*her<sub>1</sub>/HER<sub>2</sub> relaxing ]]

♦ https://joverfelt.net
7
✓ overfelt@oakland.edu

CGSW 36 - Chicago, IL October 15, 2022

• Large Conjunct Gapping (LCG): The information-structural status of remnants relative to their correlates requires specific prosodic contours.

(34) \*[ForceP [&P [CP [TP **Kendra**1 is studying ]]] and [CP 
$$\frac{1}{2}$$
 and [CP  $\frac{1}{2}$  are  $\frac{1}{2}$ ]]]

### 3.3 Undergeneration of Multiple Auxiliaries

- Vanishing Auxiliaries. Auxiliaries can be omitted from conjuncts in the absence of auxiliary displacement in the first conjunct.
- (35) Will Kendra be studying and Roger sleeping?
- ATB Undergenerates. ATB movement does not provide a means for removing auxiliaries from non-initial conjunts that don't have displaced correlates.

(36) 
$${}^{\mathbf{x}}[_{\text{CP}}$$
 **Will**  $[_{\&}[_{\text{TP}}$  Kendra  $t$  **be** studying ] and [ Roger  $t$  be sleeping ]]]

- Auxiliary Gapping. A Gapping analysis provides derivations that can omit auxiliaries from noninitial conjuncts without relying on displacement.
- Small Conjunct Gapping (SCG): Low coordination below any aspectual phrases will "omit" auxiliaries from the second conjunct.

• Large Conjunct Gapping (LCG): High coordination in combination with TP-ellipsis will remove all auxiliaries from a non-initial conjunct.

## 4 Support for Dual-Source Gapping

• A Dual-Source Gapping Approach. Apparent ATB T<sup>0</sup>-to-C<sup>0</sup> constructions are the outputs of the same mechanisms responsible for Gapping (e.g., Lin 2002, Potter et al. 2017).

#### Apparent ATB T<sup>0</sup>-to-C<sup>0</sup> is Gapping

Gapping derivations omit auxiliaries from non-initial conjuncts in interrogative coordinations.

#### 4.1 Disjunctive Interrogatives

• An Ambiguity. Disjunctions in questions show an ambiguity that is commonly associated with multiple structural representations (e.g., Han & Romero 2004, Pruitt & Roelofsen 2011).<sup>5</sup>

- (39) Polar-Questions: Small Conjuncts
  - Q: Is Tracy drinking [ coffee or tea ≯]?

    "Is it the case that that Tracy is drinking either coffee or tea?"
  - A: Yes.
- (40) Alternative-Questions: Large Conjuncts
  - Q: Is [Tracy drinking coffee ↗] or [Tracy drinking tea ↘]?
    "Which one of the following is Tracy drinking: coffee or tea?"
  - A: Coffee.
- **Ambiguous Gapping.** Apparent ATB T<sup>0</sup>-to-C<sup>0</sup> constructions are ambiguous between a polar-question or alternative-question interpretation (cf. Han & Romero 2004:sec. 5.2).
  - (41) Context: Due to construction in their building, I wonder if it will be possible for Kendra to study and for Roger to sleep.
    - Q: Can [Kendra study or Roger sleep ≯]?

      Polar-Question: "Is it possible that Kendra studies or that Roger sleeps?"
    - A: Yes, they'll both be fine.
  - (42) Context: Kendra needs to study and Roger needs to sleep. Knowing that one of them will not be able to do so, I wonder if Kendra will be able to study or if Roger will be able to sleep.
    - Q: Can [ Kendra study / ] or [ Roger sleep > ]? Alternative-Question: "Which of the following is the case: Kendra can study or Roger can sleep?"
    - A: Roger can sleep because Kendra works.
- The Scope of Coordination. Gapping provides derivations for polar-questions and alternativequestions that correlate with the scope of sentential operators.
- Small Conjunct Gapping: SCG configurations are responsible for Polar-Questions and are expected to necessarily assign sentential operators wide-scope.
  - (43)  $\begin{bmatrix} \text{ForceP Can} & \text{Can} & \text{Can$
- Large Conjunct Gapping: LCG structures are responsible for alternative-questions and are expected to necessarily assign sentential operators distributed-scope (contra Siegel 1987).
  - (44)  $\left[ \text{ForceP Can} \left[ \text{Rep} \left[ \text{CP} \left[ \text{TP Kendra } t_1 \text{ study} \right] \right] \text{ or } \left[ \text{CP Roger sleep} \left\langle \frac{\text{TP } t \text{ can } t}{\text{ can } t} \right\rangle \right] \right] \right]$

♦ https://joverfelt.net
9
✓ overfelt@oakland.edu

CGSW 36 - Chicago, IL October 15, 2022

#### 4.2 Bound-Variable Interpretations

• Small Conjunct Gapping. A quantificational subject in the first conjunct can bind variables in the subject of the second conjunct (McCawley 1993, Johnson 1996/2004).

- (45) a. No student<sub>1</sub> bought a hat and her<sub>1</sub> brother a sweatshirt."There is no student x such that x bought a hat and x's brother bought a shirt."
  - b. **No student**<sub>1</sub> [ $_{\&P}$  [ $_{VP}$   $_{t}$  bought a hat ] and [ $_{VP}$  **her**<sub>1</sub> brother <del>bought</del> a shirt ]]
- Bound Variables in Interrogatives. A bound-variable interpretation disambiguates the structure in favor of a polar question interpretation.
- (46) Context: Walking by an apartment building, I see, unexpectedly, that the television is on in every apartment. Expecting that students would be studying and that others would be sleeping, I ask if this isn't the case.
  - Q: Is [ $\mathbf{nostudent}_1$  studying or  $\mathbf{her}_1$  roommate sleeping  $\nearrow$ ]? Polar-Question: "Is it the case that no student is studying or the roommate is sleeping."
  - A: No, everyone is watching television.
- (47) Context: Walking by an apartment building, I see, unexpectedly, that the light is off in every apartment. I inquire about which of two things could explain this.
  - Q: \*Is [ no student₁ studying / ] or [ her₁ roommate sleeping \scip ]?

    Alternative-Question: "Which of the following is happening: no student is studying or the roommate is sleeping."
  - A: #No student is studying.
- Bound Variables Bleed LCG. Gapping provides derivations for polar-questions and alternative-questions, but bound-variable interpretations are incompatible with alternative-question interpretations.
- Small Conjunct Gapping: SCG configurations are responsible for polar-questions and support a bound-variable interpretation.
  - [48] [ForceP] Is [TP] no student [TP] t studying [TP] or [TP] no mate sleeping [TP]
- Large Conjunct Gapping: LCG structures are responsible for alternative-questions and omitting auxiliaries, but cannot support a bound-variable interpretation.
  - (49) \*[ForceP Is [&P [CP [TP **no student**  $t_1$  studying]] or [CP **her**<sub>1</sub> roommate sleeping  $\langle TP t | s t \rangle$ ]]]

#### 4.3 Conjunction Reduction

**Distributed-Scope Operators.** The ability to interpret sentential operators below the scope of coordination, in each conjunct provide evidence for LCG (Siegel 1987, Potter et al. 2017).

- (50) a. Ward can't eat caviar and Mary, beans.
   ¬⟨P ∧ ¬⟨Q : 'Ward can't eat caviar and Mary can't eat beans.'
  - b.  $[_{\&P}$  [ $_{CP}$  Ward **can't** eat caviar ] and [ $_{CP}$  Mary beans  $\frac{\epsilon \epsilon \epsilon + \epsilon}{2}$ ]]
- A Polarity/Alternative Question Ambiguity. Alternative question interpretations with distributively interpreted operators are available in massively reduced conjuncts.
- (51) Q: Should [ **there not be** any students eating or any professors sleeping / ]? Polar-Question: "Is it the case that there should be no students eating and no professors sleeping?"
  - A: No, of course not.
- (52) Q: Should [ there not be any students eating ≯] or [ any professors sleeping ↘]? Alternative-Question: "Which of the following is the case: no students should be eating or no professors should be sleeping?"
  - A: There shouldn't be any professors sleeping.
- ATB Undergenerates. The ATB analysis does not provide a means for understanding how conjunction reduction or the two interpretations are achieved.
- (53) Should [ there t not be any students eating ] or [ there t not be any professors sleeping ]
- Should [ **there** t **not be** any students eating ] or [ any professors t **not be** sleeping ]
- Auxiliary Gapping. The proposed Gapping analysis provides reduced conjunct constructions that can generate each interpretation.
  - Small Conjunct Gapping (SCG): Low coordination in SCG generates a polarity-question interpretation and precludes from the second conjunct any material outside the predicate.
  - Large Conjunct Gapping (LCG): High coordination in LCG generates an alternative-question interpretation and elides sentential operators in the second conjunct.
- (56)  $[_{ForceP}$   $[_{\&P}$   $[_{CP}$   $[_{TP}$  **There** should **not be** any students eating ]] or  $[_{CP}$  any professors sleeping  $\frac{1}{TP}$  **there** should  $\frac{t}{TP}$  **there**

CGSW 36 - Chicago, IL October 15, 2022

#### 4.4 Overgeneration of Multiple Auxiliaries

• **Spurious Auxiliaries.** Auxiliaries that do not have a displaced correlate are unexpectedly unable appear in a non-initial conjunct (See Appendix A; Lin cf. 2002:42, (20)).

- (57) a. ??Will Kendra be studying and/or Roger be sleeping?
  - b. Will Kendra be studying and/or Roger sleeping?
- ATB Overgenerates. The ATB analysis does not provide a means for understanding why unmoved heads cannot appear in their base-generated position.
  - (58) ?? $\mathbf{Will}$  [ Kendra t **be** studying ] and/or [ Roger t **be** sleeping ]
- Auxiliary Gapping. We can appeal to constraints on structure sharing and verbal category fronting in Gapping configurations .
- Small Conjunct Gapping (SCG): If low coordination is necessarily below any aspectual phrases (Potter et al. 2017), we preclude the structure for introducing auxiliaries in non-initial conjuncts.

(59) 
$$??[_{ForceP}$$
  $[_{TP}$  Kendra<sub>1</sub> **will be**  $[_{\&P}$   $[_{VP}$   $_{t}$  studying  $]$  and/or  $[_{VP}$  Roger  $\boxed{\mathbf{be}}$  sleeping  $]]]]]$ 

The acceptability of spurious auxiliaries in SCG should be a function of the ability to coordinate midfield categories as well as the polar/alternative interpretation of disjunctions.

• Large Conjunct Gapping (LCG): If Aux+VP is not a frontable constituent, auxiliaries should not survive TP-ellipsis.

(60) 
$$??[_{ForceP}$$
  $[_{\&P}[_{CP}[_{TP} \text{ Kendra}_1 \text{ will be } \text{studying }]] \text{ and/or} [_{CP} \text{ Roger}[_{XP} \text{ be } \text{ sleeping}] \underbrace{\langle_{TP} \text{ f will } \text{ f} \rangle}_{\text{TP}}]]]$ 

The acceptability of spurious auxiliaries in LCG should be a function of the ability of Aux+VP to be fronted (e.g., Ott 2018, Thoms & Walkden 2019) or escape ellipsis (e.g., Weir 2014)

- (61) a. **Sitting on the table** though the bottle will be ...
  - b. \*Be sitting on the table though the bottle will ...
- (62) a. Sitting on the table will be a bottle of wine.
  - b. \*Be sitting on the table will a bottle of wine.
- (63) Will Kendra be running?
  - a. No, studying Kendra will be.
  - b. \*No, be studying Kendra will

# 5 Asymmetric Phonological Head Dispalcement

• The Diagnostic Utility of ATB Head Movement. The source of scope ambiguities in apparent ATB head displacement configurations may diagnose the responsible mechanism.

## **Reconstruction by Coordination**

"Reconstruction" that is a function of the scope of coordination is indicative of phonological head movement.

## 5.1 Asymmetric T<sup>0</sup>-to-C<sup>0</sup> Displacement

- A Dual-Source Gapping Approach. Apparent ATB T<sup>0</sup>-to-C<sup>0</sup> constructions are the outputs of the same mechanisms responsible for Gapping (e.g., Lin 2002, Potter et al. 2017).
- Small Conjunct Gapping (SCG): Auxiliaries "omitted" from non-initial conjuncts reflect shared auxiliary structure above coordinated v/VPs.

(64) 
$$\left[\underset{\text{CP}}{\text{Is}}\left[\underset{\text{TP}}{\text{Kendra}}t\left[\underset{\text{\&P}}{\text{\&P}}\left[\underset{\text{VP}}{\text{V}}t\right]\right]\right]\right]$$
 and  $\left[\underset{\text{VP}}{\text{Noger sleeping }}\right]$ 

• Large Conjunct Gapping (LCG): Auxiliaries are omitted from non-initial conjuncts as part of an elided TP constituent under conjoined clauses.

$$[CP Is \left[\underset{\&P}{\text{Erp [TP Kendra } t} \text{ studying ]}\right] \text{ and } \left[\underset{CP}{\text{Roger sleeping }} \left(\underset{TP}{\text{f is } t}\right)\right]]$$

- Unavailable "Symmetric" Alternatives. Alternative analyses that would conjoin CPs and not posit asymmetric extraction should be dispreferred.
- First Conjunct T<sup>0</sup>-to-C<sup>0</sup> Movement: If T<sup>0</sup>-to-C<sup>0</sup> displacement is triggered by the properties internal to the first conjunct, we lose the intuition that the conjunction has scope under interrogation.

(66) 
$$\mathbf{x}_{\text{[\&P [CP }}[\mathbf{Is}_{\text{[TP Kendra}}t_1]] \text{ studying ]] or [CP Roger sleeping  $\langle \mathbf{TP}, \mathbf{tist} \rangle]]$$$

• Symmetric T<sup>0</sup>-to-C<sup>0</sup> Movement : If T<sup>0</sup>-to-C<sup>0</sup> displacement occurs in both conjuncts, we are forced to rely on a relatively rare instance of CP-ellipsis.

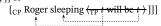
(67) 
$${}^{\mathsf{x}}[_{\&P}[_{CP}] \mathbf{Is}[_{TP}] \mathsf{Kendra}[_{t_1}] \mathsf{studying}]] \mathsf{or}[_{CP}] \mathsf{Roger}[_{CP}] \mathsf{stepping}(\mathsf{cp}[_{CP}] \mathsf{is}[_{t_1}])]$$

• Coordination below Force $^{0}$ . The distribution of force-encoding complementizers suggests that coordination is below the head that is responsible for illocutionary force (see also Johnson 2014, 2019) and, thus,  $T^{0}$ -to- $C^{0}$  displacement must be asymmetric.

♦ https://joverfelt.net
13
☑ overfelt@oakland.edu

CGSW 36 - Chicago, IL October 15, 2022

- 68) I wonder **if** Kim will be studying or \*(**if**) Roger sleeping.
- (69) I wonder [ $_{ForceP}$  if [ $_{\&P}$  [ $_{CP}$  [ $_{TP}$  Kendra will be studying]] or



- Overtly Asymmetric Head Movement. Observably non-ATB displacement of heads out of coordinations provides further support for the asymmetric displacement of heads.
- (70)  $[_{CP}$  **Should**  $[_{\&P}$   $[_{TP}$  we t support Anne ] and  $[_{TP}$  she **were** to win ]]]], she would let us drive her Ferrari. (Flor & Zompí 2021:1, (4))

#### 5.2 Phonological Head Movement

- Semantically Active Head Movement. Wide-scope of a displaced head with respect to coordination can diagnose semantically active (narrow-syntactic) head movement (e.g. Lechner 2017).
- (71) Indicator of semantically active head movement

LF: 
$$X_1^0 [\&_P [...t_1...] \text{ and/or } [...t_1...]]$$
  $(X^0 > \&, \& > X^0)$ 

(72) Uninformative regarding semantically active head movement

LF: 
$$X^0$$
 [ $_{\&P}$  [ ... ] and/or [ ... ]] ( $X^0 > \&$ )

- **Post-syntactic Head Movement.** Obligatory distributed-scope of a displaced head with respect to coordination diagnoses semantically *inactive* (post-syntactic) head movement.
- (73) Indicator of semantically inactive head movement

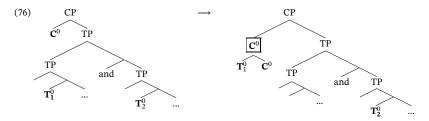
$$LF: \langle X^0 \rangle [_{\&P} [ ... X^0 ... ] \text{ and/or } [ ... X^0 ... ]]$$
 ( & > X<sup>0</sup>)

- The Scope of Coordination in Gapping. The relative scope of operators in conjoined interrogatives is not a function of reconstruction (cf. (71)); it is necessarily a function of the scope of coordination.
- Small Conjunct Gapping: Wide-scope interpretations of sentential operators are the result of are SCG configurations; they are uninformative regarding head movement.

(74) 
$$\left[_{\text{ForceP}} \operatorname{\mathbf{Can}} \left[_{\text{TP}} \operatorname{Kendra}_{1} t \left[_{\&P} \left[_{\text{VP}} t \operatorname{study} \right] \operatorname{or} \left[_{\text{VP}} \operatorname{Roger sleep} \right] \right] \right] \right]$$
 (see (72))

- Large Conjunct Gapping: Distributed-scope interpretations of sentential operators are the result of LCG configurations; they indicate semantically *inactive* head movement.
  - (75)  $\left[ \text{ForceP } \mathbf{Can} \left[ \underset{\text{\&P}}{\text{\&P}} \left[ \text{CP} \left[ \text{TP Kendra } t_1 \text{ study} \right] \right] \text{ or } \left[ \text{CP Roger sleep} \left( \underset{\text{A}}{\text{TP + can } t} \right) \right] \right] \right]$  (see (73))
- ♦ https://joverfelt.net
  14
  ✓ overfelt@oakland.edu

• CSC "Violating" Head Movement. Configurations with asymmetric head displacement are permitted on the promise of "reconstruction" (Ruys 1992, Fox 2000, Lin 2002).



• Across-the-Board V2? Future work will ask if possible scope ambiguity is a function of reconstruction or a function of the scope of coordination in Across-the-Board V2 configurations across the rest of Germanic (den Besten 1983).

(77) Hans<sub>1</sub> **kann** [ $_{\&P}$  [  $t_1$  seine Zeugnisse einreichen t ] und [ Maria eine Prüfung ablegen t ]] Hans can his certificates submit and Maria an exam take 'Hans can submit his certificates and Mary take an exam.'

 $(\diamondsuit > \&, \& > \diamondsuit; Lechner 2017:4, (26))$ 

• Previous Arguments for SAHM? Future work will have to understand how this fits with the range of arguments for the syntactic nature of head movement (e.g., Lechner 2017, Landau 2020, and references therein).

## 6 Gapping Feeds ATB Wh-Movement

• Constituent Questions. Gapping derivations are expected to generate constituent questions.

## **Gapping in Constituent Questions**

Gapping derivations generate constituent questions.

- Wh-Gapping. If Gapping derivations necessarily feed auxiliary fronting, then one or both of the proposed Gapping derivations necessarily feed constituent questions.
- (78) Which dish can Tony afford and Sally eat?
- (79) [ForceP Which dish<sub>1</sub> can  $[TP Sally_1 t]_{\&P} [VP t]_{A}$  afford  $X_1$  and  $[VP Tony eat <math>X_1$ ]]]]

♦ https://joverfelt.net
15
☑ overfelt@oakland.edu

CGSW 36 - Chicago, IL October 15, 2022

• An Expected Ambiguity. If both structures are available, constituent questions should show the expected scope ambiguities for sentential operators.

- (81) Context: Tony has a bad habit of eating Sally's food. So, she tries to buy dishes that he won't eat.
  - Q: Which dish won't Sally buy and Tony eat? Wide-Scope: "For which dish x will it not be the case that Sally buys x and Tony eats x?"
  - A: Liver and onions.
- (82) Context: Sally refuses to buy a certain dish for the potluck. But, it's for the best anyway because Tony would refuse to eat it.
  - Q: Which dish won't Sally buy and Tony eat? Distributed-Scope: "For which dish x will it not be the case that Sally buys x nor will it be the case that Tony eats x?"
  - A: Liver and onions.
- Sorting Out ATB *Wh*-Movement? Future work must determine what mechanisms are responsible for generating the *wh*-gaps (e.g., Williams 1978, Munn 1993, Nunes 2004, Citko 2005, Zhang 2010, Salzmann 2012).
- **Selective Freezing Effects?** Future work must investigate why *wh*-extraction out of certain remnants is not possible (Yoshida 2005).
  - (83) a. I wonder if Sally talked about the rice and Mary talked about the beans.
    - b. \*I wonder which dish<sub>1</sub> Sally talked about  $t_1$  and Mary talked about  $t_1$ .

#### 7 Conclusion

• A Ban on ATB  $T^0$ -to- $C^0$ . Subject-auxiliary inversion is not the product of Across-the-Board  $T^0$ -to- $C^0$  movement of the fronted auxiliary.

No Across-the-Board T0-to-C0

T<sup>0</sup>-to-C<sup>0</sup> movement cannot apply Across-the-Board in English.

The string in question does not have as (84).

(84)  $*[_{CP}$  **Is**  $[_{\&P}$   $[_{TP}$  Ian  $_t$  sleeping ] and  $[_{TP}$  Maxine  $_t$  reading ]]

16

• A Dual-Source Gapping Approach. Apparent ATB T<sup>0</sup>-to-C<sup>0</sup> constructions are the outputs of the same mechanisms responsible for Gapping (e.g., Lin 2002, Potter et al. 2017).

## Apparent ATB T0-to-C0 is Gapping

Gapping derivations omit auxiliaries from non-initial conjuncts in interrogative coordinations.

• Small Conjunct Gapping (SCG): Auxiliaries "omitted" from non-initial conjuncts reflect shared auxiliary structure above coordinated v/VPs.

(85) 
$$\left[ {_{\text{CP}}} \text{ Is } \left[ {_{\text{TP}}} \text{ Kendra } t \left[ {_{\&\text{P}}} \left[ {_{\text{VP}}} t \text{ studying } \right] \text{ and } \left[ {_{\text{VP}}} \text{ Roger sleeping } \right] \right] \right] \right]$$

• Large Conjunct Gapping (LCG): Auxiliaries are omitted from non-initial conjuncts as part of an elided TP constituent under conjoined clauses.

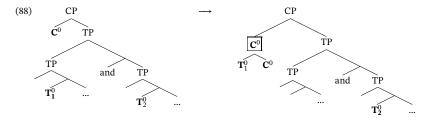
[CP Is 
$$[_{\text{CP}}$$
 Is  $[_{\text{CP}}$   $[_{\text{TP}}$  Kendra  $t$  studying ]] and  $[_{\text{CP}}$  Roger sleeping  $\langle _{\text{TP}}$   $t$  is  $t$   $\rangle$  ]]]

• The Diagnostic Utility of ATB Head Movement. The source of scope ambiguities in apparent ATB head displacement configurations may diagnose the responsible mechanism.

#### Reconstruction by Coordination

"Reconstruction" that is a function of the scope of coordination is indicative of phonological head movement.

[87)  $\left[\underset{\text{CP}}{\text{Can}}\left[\underset{\text{\&P}}{\text{Erp}}\left[\underset{\text{TP}}{\text{Fendra}}t\right]\right]\right] \text{ or }\left[\underset{\text{CP}}{\text{Roger sleep}}\left(\underset{\text{TP}}{\text{fean }t}\right)\right]\right]$ 



♦ https://joverfelt.net
17
✓ overfelt@oakland.edu

CGSW 36 - Chicago, IL October 15, 2022

# Acknowledgements

Thank you to Andrew Murphy, Jason Merchant, and all of the organizers and participants of CGSW 36.

Thank you to audience members at the University of Michigan, especially Natasha Abner, Demet Kayabasi, Ezra Keshet, Andrew McInnerney, and Doug Merchant, and the anonymous reviewers for CGSW 36 for helpful comments and discussion.

The responsibility for any errors or misrepresentations of the ideas of others lies solely with the author.

#### **Notes**

<sup>1</sup>See Bruening (2017) and the references therein for a discussion of Subject-Auxiliary Inversion.

<sup>2</sup>See de Vries (2017) and the references therein for a discussion of Across-the-Board phenomena.

 $^3$ For attempts to recast Across-the-Board  $\overline{\text{A}}$ -movement see Munn 1993, Bošković & Franks 2000, Nunes 2004, Citko 2005, Zhang 2010, Salzmann 2012, among others.

<sup>4</sup>Note that there is independent evidence for morphological mismatches being permitted under ellipsis.

(i) John said that he is going to the store and

the girls did \(\langle\) say that they are going to the store \(\langle\) too.

<sup>5</sup>See also Schwarz (1999), Wu (2021), and references therein for discussions of declarative disjunctions.

## References

An, Duk-Ho. 2007. Asymmetric T-to-C movement in ATB constructions. In *Proceedings of ConSOLE XIV*, 1–19.

den Besten, Hans. 1983. On the interaction of root transformations and lexical deletive rules. In *On the formal syntax of westgermania*, ed. Werner Abraham, 47–132. John Benjamins.

Bjorkman, Bronwyn. 2014. Accounting for unexpected subject gaps in TP coordination. *The Linguistic Review* 31.

Boone, Enrique. 2014. The syntax and licensing of gapping and fragments. Doctoral Dissertation, Universiteit Leiden, Leiden, NL.

Bošković, Željko, & Steve Franks. 2000. Across-the-Board movement and LF. Syntax 3:107-128.

Bruening, Benjamin. 2017. Subject-auxiliary inversion. In *The wiley blackwell companion to syntax*, ed. Martin Everaert & Henk van Riemsdijk. John Wiley & Sons, Inc.

Chomsky, Noam. 1957. Syntactic structures. Berlin: Mouton & Co.

Chomsky, Noam. 1986. Barriers. Cambridge, MA: The MIT Press.

Citko, Barbara. 2005. On the nature of merge: External merge, internnal merge, and parallel merge. Linguistic Inquiry 36:475–497.

Flor, Enrico, & Sanilao Zompí. 2021. CSC-violating head movement in English conditional inversion. Ms., MIT, Cambridge, MA.

Fox, Danny. 2000. Economy and semantic interpretation. The MIT Press.

♦ https://joverfelt.net
18
✓ overfelt@oakland.edu

Han, Chung-Hye, & Maribel Romero. 2004. The syntax of *whether/Q...or* questions: Ellipsis combined with movement. *Natural Language & Linguistic Theory* 22:527–564.

Hankamer, Jorge. 1979. Deletion in coordinate structures. New York: Garland.

Johnson, Kyle. 1996/2004. In search of the English middle field. UMass Amherst, Amherst, MA.

Johnson, Kyle. 2014. Gapping. Ms., University of Massachusetts Amherst, Amherst, MA.

Johnson, Kyle. 2019. Gapping and stripping. In The Oxford Handbook of Ellipsis, ed. Jeroen van Craenenbroeck & Tanja Temmerman, 562–604.

Kuno, Susumo. 1976. Gapping: A functional analysis. Linguitic Inquiry 3.

Landau, Idan. 2020. A scope argument against T-to-C movement in sluicing. Syntax 23:375-393.

Lechner, Winfried. 2017. In defense of semantically active head movement. Ms., National and Kapodistrian University of Athens, Athens, GR.

Lin, Vivian I-Wen. 2002. Coordination and sharing at the interfaces. Doctoral Dissertation, MIT, Cambridge, MA.

López, Luis, & Susanne Winkler. 2003. Variation at the syntax-semantics interface: Evidence from gapping. In *The interfaces: Deriving and interpreting omitted structures*, ed. Kerstin Schwabe & Susanne Winkler, 227–248. Amsterdam, NL: John Benjamins Publishing Company.

McCawley, James D. 1993. Gapping with shared operators. In *Berkeley linguistics society*, ed. David A. Peterson, 245–253. Berkeley, CA: University of California.

Munn, Alan. 1993. Topics in the syntax and semantics of coordinate structures. Doctoral Dissertation, University of Maryland, College Park, MD.

Neijt, Anneke. 1979. Gapping: A contribution to sentence grammar. Dordrect, NL: Foris Publications.

Nunes, Jairo. 2004. Linearization of chains and sideward movement. Cambridge, MA: MIT Press.

Oehrle, Richard T. 1987. Boolean properties in the analaysis of gapping. In *Syntax and semantics 20: Discontinuous constituency*, ed. Geoffrey J. Huck & Almerindo E. Ojeda, 203–240. San Diego, CA: Academic Press.

Ott, Dennis. 2018. VP-fronting: Movement vs. dislocation. The Linguistic Review 35:243-282.

Pesetsky, David. 1982. Paths and categories. Doctoral Dissertation, MIT, Cambridge, MA.

Potter, David, Michael Frazier, & Masaya Yoshida. 2017. A two-source hypothesis for Gapping. *Natural Language & Linguistic Theory* 35:1123–1160.

Pruitt, Kathryn, & Floris Roelofsen. 2011. Disjunctive questions: Prosody, syntax and semantics. In Seminar presented at the Georg August Universität Göttingen.

Ross, John R. 1967. Constraints on variables in syntax. Doctoral Dissertation, MIT, Cambridge, MA.

Ross, John R. 1970. Gapping and the order of constituents. In *Progress in linguistics*, ed. Manfred Bierwisch & Karl E. Heidolph, 249–259. The Hague: Mouton.

Ruys, Eddy. 1992. The scope of indefinites. Doctoral Dissertation, Universiteit Utrecht, Utrecht.

Salzmann, Martin. 2012. A derivational ellipsis approach to ATB-movement. The Linguistic Review 29:397–438.

Schwarz, Bernhard. 1999. On the syntax of either...or. *Natural Language & Linguistic Theory* 17:141–177.

Siegel, Muffy E. A. 1987. Compositionality, case, and the scope of auxiliaries. *Linguistics and Philosophy* 10:53–76.

Thoms, Gary, & George Walkden. 2019. vP-fronting with and without remnant movement. *Journal of Linguistics* 55:161–214.

Toosarvandani, Maziar. 2016. Embedding the antecedent in gapping: Low coordination and the role of parllelism. *Linguistic Inquiry* 47:381–390.

de Vries, Mark. 2017. Across-the-Board phenomena. In *The wiley blackwell companion to syntax*, ed. Martin Everaert & Henk van Riemsdijk. Malden, MA: Wiley-Blackwell.

Weir, Andrew. 2014. Fragments and clausal ellipsis. Doctoral Dissertation, UMass Amherst, Amherst,

♦ https://joverfelt.net
19
✓ overfelt@oakland.edu

CGSW 36 – Chicago, IL October 15, 2022

MA.

Williams, Edwin. 1974. Rule ordering in syntax. Doctoral Dissertation, MIT, Cambridge, MA. Williams, Edwin. 1978. Across-the-board rule application. *Linguistic Inquiry* 9:31–43. Winkler, Susanne. 2005. *Ellipsis and focus in generative grammar*. Berlin: Mouton de Gruyter. Wu, Danfeng. 2021. Syntax of *either ... or .... Natural Language & Linguistic Theory* 40:933–977. Yoshida, Masaya. 2005. The rightward movement analsyis of gappign in NP and its structural implications. In *Proceedings of the 24th West Coast Conference on Formal Linguistics*, ed. John Alderete, Chung-Hye Han, & Alexei Kochetov, 388–396. Somerville, MA: Cascadilla Proceedings Project. Zhang, Niina Ning. 2010. *Coordination in syntax*. Cambridge, UK: Cambridge University Press.

# Appendix A Spuriousness in Multiple-Auxiliary Constructions

- The Verbal Hierarchy. The hierarchy of verbal elements in English is as follows:
- (89) The Verbal Hierarchy
  Mod < Perf < Prog < Pass < V
- **Constituent Questions.** Examining the full paradigm of two-auxiliary constructions perhaps more variability than the simple hypothesis of section would have predicted. (Judgements have been surpressed.)
- (90) a. Should Kendra have studied and/or Roger have slept?
  - b. Will Kendra be studying and/or Roger be sleeping?
  - c. **Must** Kendra **be** questioned and/or Roger **be** arrested?
- (91) a. **Has** Kendra **been** studying and/or Roger **been** sleeping?
  - b. Has Kendra been questioned and/or Roger been arrested?
- (92) **Is** Kendra **being** questioned and/or Roger **being** arrested?
- (93) Will Kendra be happy and/or Roger be upset?

## Appendix B Gapping v. Stripping

 $\bullet$  Stripping in Disjunctions. Wu (2021) has argued recently that *either ... or* constructions involve stripping (cf. Schwarz 1999, Han & Romero 2004).

| (94) | [&P Either [ Tracy is drinking coffee ] or [ | tea Tracy is drinking t | ĺ |
|------|--|-------------------------|---|
|      |  | 1                       |   |

• Stripping in Interrogatives? One could imagine that LCG configurations are derived via Stripping, but not Gapping.

```
[95) [_{CP} \text{ Is } [_{\&P} [_{CP} [_{TP} \text{ Kendra } t \text{ studying }]] \text{ and } [_{CP} [_{XP} \text{ Roger sleeping }] (_{TP} \text{ is } t) )]]
```

♦ https://joverfelt.net
20
Ø overfelt@oakland.edu

**Gapping, but not Stripping.** A Stripping derivation, in which a single remnant is extracted to the left periphery, seems less available than the proposed alternative.

(96) \*Kendra is studying but not [ $_{CP}$  [ $_{XP}$  Roger eating ]  $\langle \frac{is \ f}{k} \rangle$  ]

## Appendix C Upward Bounding and Islands

- Constraints on Embedding. Gapping resists recovering an embedded antecedent (Hankamer 1979, Johnson 2019) and remnants are island-sensitive (Neijt 1979).
  - (97) Upward Bounding Constraint

    The antecedent for a gap must include the highest term in the verbal sequence of the first conjunct
- (98) \*Sylvia will ask [ if Luke is bringing rice ] or
  - Louise is bringing beans.
     "Sylvia will ask if Luke is bringing rice or Louise is bringing beans."
  - b. Louise will ask [ if Bill is bringing ] beans.
     "Kendra will ask if Bill is bringing rice or Louise will ask if Bill is bringing beans."
- (99) Sylvia will ask [ if Luke is bringing rice or Louise is bringing beans ] "Sylvia will ask if Luke is bringing rice or if Louise is bringing beans."
- Upward Bounding and Islands in Interrogatives. Gapping interrogatives are subject to the Upward Bounding Constraint and the remnants are sensitive to island boundaries.
- (100) \*Will Sylvia ask [ if Kendra is studying ] or
  - a. Roger be sleeping?
    - "Will Sylvia ask if Kendra is studying or will Roger be sleeping?"
  - b. Roger ask [if Kendra is ] sleeping?
    "Will Sylvia ask if Kendra is studying or will Roger ask if Kendra is sleeping?"
- (101) Will Sylvia ask [ if Kendra is studying or Roger is sleeping ] "Will Sylvia will ask if Kendra is studying or if Roger is sleeping?"
- Long-Distance Remnant Movement. So long as Upward Bounding is respected, remnants can be displaced across (non-finite) clause boundaries.
- (102) Will Kendra want [ to be studying ] or
  - a. \*Roger be sleeping?
    - "Will Kendra want to be studying or will Roger be sleeping?"
  - Roger [ want to be ] sleeping?
     "Will Kendra want to be studying or will Roger want to be sleeping?"
- https://joverfelt.net